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John T. Bostelman

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PLI's Guide to the
**SARBANES-
OXLEY ACT**
**FOR BUSINESS
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This practical guidebook provides a concise overview of the Sarbanes-Oxley Act and its farreaching reforms. Written in clear, jargon-free language, PLI's Guide is essential for business professionals and others who do not need to become experts but who want to understand the myriad new rules and regulations, including public disclosure of

financial information and regulation of insider conflicts -- corporate governance matters -- regulation of auditors -- new rules for attorneys -- responsibilities of ancillary gatekeepers, such as research analysts -- record keeping -- and remedies and penalties. In addition, subject-oriented checklists and references to the SEC rules and website commentary are provided to aid in any follow-up with professional advisors. PLI's Guide to the Sarbanes-Oxley Act for Business Professionals is your single-volume resource for understanding this monumental new legislation.

About the Author John Bostelman joined Sullivan Cromwell in 1979 and has been a partner in the New York office since 1986. He has a broad financial and corporate practice, including the areas of public and private securities offerings, corporate governance, investment management, commodities and derivatives and broker-dealer regulation. He is coordinator of the securities law practice throughout the Firm and a member of the Firm's Corporate Finance, Financial Institutions, Investment Management and Commodities, Futures and Derivatives Groups